PTO/SB/08A (10-01)
Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	Substitute for form 1449A/PTO			Complete if Known		
				Application Number	10/726394	
11	IFORMATI	ON DI	SCLOSURE	Filing Date	December 2, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Lisa Pfefferle	
				Art Unit	Not Yet Assigned	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	3	Attorney Docket Number	YU-P01-008	

				U.S. PA	TENT DOCUMENTS	
Examiner Initials*		0.11	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant
		Cite No.1	Number-Kind Code ² (# known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear
13	N	AA	5538711	07-23-1996	Emerson et al.	
		AB	6314019B1	11-06-2001	Kuekes et al.	
	_	AC	6333016B1	12-25-2001	Resasco et al.	
		AD	6413487B1	07/02-2002	Resasco et al.	
		AE	6,159,742	12-12-2000	Lieber et al.	

			FOREIG	GN PATENT	DOCUMENTS		
Exam Initia	niner s*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>ii known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

Examiner Date Signature Considered

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	ostitute for form 1449A/PT	0			Complete if Known
				Application Number	10/726394
11	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	CLOSURE	Filing Date	December 2, 2003	
l s	STATEMENT BY APPLICANT		PLICANT	First Named Inventor	Lisa Pfefferle
ĺ				Art Unit	Not Yet Assigned
	(use as many s	heets as nec	essary)	Examiner Name	Not Yet Assigned
Sheet	heet 2 of 3		Attomey Docket Number	YU-P01-008	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner nitials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), data, page(s), volume-issue number(s), publisher, city and/or country where published.	72
XVE	CA	LI et al., "Large-Scale Synthesis of Aligned Carbon Nanotubes," Science 12/6/96 pp 1701-1703, USA	
	CB .	MUKHOPADHYAY et al., "A Simple and Novel Way to Synthesize Aligned Nanotube Bundles at Low Temperature," pp L1257-L1259 Jpn. J. Appl. Phys. Vol 37, Japan.	Γ
	CC	ZHANG et al., "Template Synthesis of High-Density Carbon Nanotube Arrays," pp 306-310 Journal of Crystal Growth 223 (2001)	
	CD	LAUNOIS et al., "Carbon Nanotubes Synthesised in channels of AlPo4-5 Single Crystals: First X-Ray Scattering Investigations," pp 99-103 Solid State Communications, 2000	
	CE	ZHANG et al., "A Novel Method of Varying the Diameter of Carbon Nanotubes Formed on an Fe-Supported Y Zeolite Catalyst," pp 383-388, Microporous and Mesoporous Materials, 1999	
	CF	CUI et al, "Nanowire Nanosensors for Highly Sensitive and Selective Detection of Biological and Chemical Species," pp 1289-1292 Science Magazine, 8/17/01	
	CG	RAO et al., "Nanotubes," pp 78-105 Chemphyschem, 2001.	
	CH	WANG et al., "Two- and Three-Dimensional Alignment and Patterning of Carbon Nanotubes," pp 165-167, Advanced Materials, 1/16/02.	
	Ci	SINNOTT et al., "Model of Carbon Nanotube Growth Through Chemical Vapor Deposition," pp 25-30 Chemical Physics Letters 315(1999)	
	င	SINNOTT et al., "Carbon Nanotubes: Synthesis, Properties, and Applications," Critical Reviews in Solid State and Materials Sciences 26(3):145-249 (2001)	
	СК	FONSECA et al., "Synthesis of Signel- and Multi-Wall Carbon Nanotubes Over Supported Catalysts," Applied Physics A 67, 11-22(1998)	
	CL	JIANG et al., "Catalytic Growth of Carbon Nanotubes From the Internal Surface of Fe-Loading Mesoporous Molecular Sieves Materials," Materials Chemistry and Physics vol. 69, Issues 1-3, pp 246-251, 3/1/01.	
	СМ	DAI, "Carbon Nanotubes: Opportunities and Challenges," Surface Science 500 (2002) pp 218-241	Γ
	CN	ZHAO et al., "A Novel Method for Tailoring the Pore-Opening Size of MCM-41 Materials," Chem. Communications, 1999, pp 1391-1392.	
	СО	ZHAO et al., "Advances in Mesoporous Molecular Sieve MCM-41," Ind. Eng. Chem. Res. 1996, 35:2075-2090	
	CP	WU et al., "Conducting Carbon Wires in Ordered, Nanometer-Sized Channels," Science 266:1013-1015 (1994)	
	CQ	CHEUNG et al., "Diameter-Controlled Synthesis of Carbon Nanotubes," Journal of Phys. Chem. B 2002 106:2429-2433.	
	CR	LIM et al., "Gas Phase Methanol Oxidation on V-MCM-41," Applied Catalysis A: General 188 (1999) 277-286	Γ
	CS	LIM et al., "Preparation of Highly Ordered Vanadium-Substituted MCM-41: Stability and Acidic Properties," Journal of Phys. Chem. B 2002 106:8437-8448.	
	СТ	KATAURA et al., "Optical Properties of Single-Wall Carbon Nanotubes," Synthetic Metals 103 (1999) 2555-2558.	
	CU	LEE et al., "Synthesis of a New Mesoporous Carbon and its Application to Electrochemical Double-Layer Capacitors," Chem. Commun. 1999, pp 2177-2178	
$\overline{\mathbb{V}}$	CV	RAVIKOVITCH et al., "Evaluation of Pore Structure Parameters of MCM-41 Catalyst Supports and Catalysts by Means of Nitrogen and Argon Adsorption," Journal of Phys. Chem. B 1997, 101:3671-3679.	

	11.		4 - 1
Examiner	1.1.11.0	Date	ININA
Signature	Kthhul a	Considered	arys
	VI. * 19 · V	100	· · · · · · · · · · · · · · · · · · ·

PTO/SB/08A (10-01)
Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	Substitute for form 1449A/PTO		Complete If Known			
-		.•		Application Number	10/726394	
IN	IFORMATION	ON DISC	LOSURE	Filing Date	December 2, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Lisa Pfefferle	
•				Art Unit	Not Yet Assigned	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	3	of	3	Attorney Docket Number	YU-P01-008	

W	RA	cw	ALVAREZ et al., "Synergism of Co and Mo in the Catalytic Production of Single-Wall Carbon Nanotubes by Decomposition of CO," Carbon 39 (2001):547-558.	
		СХ	Dai, H. et al. Controlled Chemical Routes to Nanotube Architectures, Physics, and Devices. J. Phys. Chem. B 103, 11246-255 (1999).	
		CY	Tolbert, S.H. et al. Magnetic Field Alignment of Ordered Silicate-Surfactant Composites and Mesoporous Silica. Science 278, 264-68 (10 Oct. 1997).	
d	7	CZ	Zheng, G. et al. Chemical Vapor Deposition Growth of Well-Aligned Carbon Nanotube Patterns on Cubic Mesoporous Silica Films by Soft Lithography. Chem. Matter. 13, 2240-42 (2001).	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Dentare	dela
Examiner	Date
Signature	Considered

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

SEP 1 4 2005 %

PTO/S8/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE espond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known					
Application Number	10/726394				
Filing Date	December 2, 2003				
First Named Inventor	Lisa Pfefferle				
Art Unit	1754 ·				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	YU-P03-008				

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	. Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ಗ್	

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicants unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Examiner Cite Include name of magazine, jo	of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, ournal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city	₹2
Tillingia 1	and/or country where published.	'
Mesoporous	"The Synthesis of Single-Walled Carbon Nanotubes by CVD Catalyzed with MCM-41 Powder" by "Science and Application of Nanotubes", Tomanek & wer Academic/Plenum, page 181-193 (2000).	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Ashu a	Wretz
Examiner	Date
Signature	Considered

^{&#}x27;Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.